WOLF GREE Fax:6177202441

ED STATES PATENT AND TRADEM

Applicant: Serial No: C01104/70091

10/045,629

Filed: For:

October 25, 2001

METHODS AND APPARATUS FOR CONTROLLING ILLUMINATION

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being facsimile transmitted in accordance with 37 C.F.R. §1.6(d) to the Commissioner for Patents at the United States Patent and Trademark Office Customer Service Center, fax number 1-703-306-5515, on the 19th day of February, 2002.

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, please enter the following amendments in the aboveidentified application:

IN THE SPECIFICATION

Please replace the paragraphs beginning on line 3 of page 1 with the following paragraphs as indicated below. A marked-up version of these paragraphs with all changes made by the current amendment shown using bracketing and underlining is attached hereto as the pages captioned "Version With Markings to Show Changes Made".

-- Cross References to Related Applications

This application claims the benefit under 35 U.S.C. §119(e) of the following U.S. provisional applications:

Serial No. 60/243,250, filed October 25, 2000, entitled ILLUMINATION OF LIQUIDS;

595648.1

ATTORNEY'S DOCKET NO: C01104/70091

D STATES PATENT AND TRADEMARK OFFICE

Applicant:

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METHODS AND APPARATUS FOR CONTROLLING ILLUMINATION

Examiner: Art Unit:

Not yet assigned Not yet assigned

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8(2)

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COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith is/are the following document(s):

Preliminary Amendment [X]

Facsimile Transmittal [X]

If the enclosed papers are considered incomplete, the Technology Center to which the enclosures are directed is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is believed to be necessary. If, however, a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825.

Respectfully submitted,

By:

Reg/ No. 45,157 ph Teia, Jr., Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue Boston, MA 02210 Tel. (617) 720-3500

Docket No. C01104/70091 Dated: February 19, 2002 X (NDD)



A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date. The petition should be directed to the attention of the Office of Petitions.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



CQV R E X

Date

February 19, 2002

Number of pages (including cover): 9

To

Commissioner for Patents, United States Patent and Trademark Office

Customer Service Center

Applicant

C01104/70091

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Serial No:

10/045,629

Filing Date:

October 25, 2001

FEB 1 9 2002

Title:

METHODS AND APPARATUS FOR CONTROLLING ILLUMINATION

CSO 2800

Fax Number: 1-703-306-5515

Number of Pages (including Cover Sheet): 9

ORIGINAL DOCUMENTS SENT: _ 1st Class Mail _ Overnight Mail _ Air Mail

X Not Sent

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that a Transmittal Letter and a Preliminary Amendment in the above-referenced application (total of 9 pages including cover sheet) are being facsimile transmitted to the Commissioner for Patents at the United States Patent and Trademark Office on the date shown below.

Joseph Teja, Jr.

Typed or Printed Name of Person

Date: February 19, 2002

Signing Certification

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Wolf Greenfield Fax Number: 617.720.2441

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